

# The mean value of cubic $L$ -functions over function fields

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# The Riemann Zeta function

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} = \prod_{p \text{ prime}} \left(1 - \frac{1}{p^s}\right)^{-1} \quad \text{Re}(s) > 1$$

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- The **functional equation**.

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- Trivial zeros:  $-2, -4, -6, \dots$
- The Riemann Hypothesis (RH) : nontrivial zeros of  $\zeta(s)$  at  $\text{Re}(s) = \frac{1}{2}$ .

# The Lindelöf Hypothesis

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This is **equivalent** to

$$\frac{1}{T} \int_0^T \left| \zeta\left(\frac{1}{2} + it\right) \right|^{2k} dt = O(T^\epsilon) \quad \forall k \in \mathbb{N}.$$

Understanding higher moments of  $\zeta(s)$  gives us progressively better bounds for  $\zeta(1/2 + it)$ .



# Moments of the Riemann zeta function - Some history

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- $k \geq 3$  remain **unsolved!** Keating and Snaith (2000) conjectured

$$I_k(X) \sim C_k T (\log T)^{k^2}$$

# Dirichlet $L$ -functions

$$L(s, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} = \prod_{p \text{ prime}} \left( 1 - \frac{\chi(p)}{p^s} \right)^{-1} \quad \text{Re}(s) > 1,$$

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There is a general philosophy that non-vanishing of  $L$ -functions at the critical point should be explained by arithmetic reasons.



# Moments $\zeta$ and $L$ (a very vague argument)

What is the relation between

$$\int_0^T \left| \zeta \left( \frac{1}{2} + it \right) \right|^k dt \text{ and } \sum_{\substack{\chi \bmod d \\ d \leq T}}^* L \left( \frac{1}{2}, \chi \right)^k ?$$

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$$L \left( \frac{1}{2}, \chi \right) \leftrightarrow \sum \frac{\chi(n)}{n^{\frac{1}{2}}}$$

(Warning: the right-hand sides do not make any sense.)

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(**Warning:** the right-hand sides do not make any sense.)

Notice:  $\varphi_t(n) = n^{-it}$  is “like” a character. Integrating is summing over a continuous index.

# Moments of primitive quadratic Dirichlet $L$ -functions

Keating and Snaith (2000) conjectured that

$$\sum_{\substack{\chi \bmod d \\ \chi^2=1, d \leq T}}^* L\left(\frac{1}{2}, \chi\right)^k \sim C'_k T(\log T)^{\frac{k(k+1)}{2}}.$$

The  $*$  indicates primitive characters.

- $k = 1$  Jutila (1981)
- $k = 2$  Jutila (1981), Soundararajan (secondary main term, 2000)
- $k = 3$  Soundararajan (2000), Diaconu, Goldfeld, Hoffstein
- $k = 4$  Soundararajan and Young (under GRH, 2010)

# Non-vanishing results

By Cauchy–Schwartz,

$$\sum_{\substack{\chi \bmod d \\ \chi^2=1, d \leq T}}^* L\left(\frac{1}{2}, \chi\right) \ll \left( \sum_{\substack{\chi \bmod d \\ \chi^2=1, d \leq T}}^* L\left(\frac{1}{2}, \chi\right)^2 \right)^{1/2} \left( \# \{ \chi_d \text{ primitive}, d \leq T, L\left(\frac{1}{2}, \chi\right) \neq 0 \} \right)^{1/2}$$

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$$\# \{ \chi_d \text{ primitive}, d \leq T, L\left(\frac{1}{2}, \chi\right) \neq 0 \} \gg \frac{\left( \sum_{\substack{\chi \bmod d \\ \chi^2=1, d \leq T}}^* L\left(\frac{1}{2}, \chi\right) \right)^2}{\sum_{\substack{\chi \bmod d \\ \chi^2=1, d \leq T}}^* L\left(\frac{1}{2}, \chi\right)^2}$$

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Soundararajan (2000) developed a finer method to prove that **at least  $7/8$**  of the  $L\left(\frac{1}{2}, \chi\right)$  do not vanish. 

# Moments with cubic characters - $\mathbb{Q}$ (non-Kummer case)

Let  $p \equiv 1 \pmod{3}$ .

$\chi_p(a)$  is defined using  $a^{\frac{p-1}{3}} \pmod{p}$ .

We get two characters,  $\chi_p$  and  $\overline{\chi_p}$ .

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Baier & Young (2010) proved,

$$\sum_{\substack{\chi \pmod{d} \\ \chi^3=1, d \leq T}}^* L\left(\frac{1}{2}, \chi\right) \sim cT + O\left(T^{\frac{37}{38} + \varepsilon}\right),$$

This implies a nonvanishing result of  $\gg T^{\frac{6}{7} - \varepsilon}$ .

# Moments with cubic characters - $\mathbb{Q}(\xi_3)$ (Kummer case)

Over  $\mathbb{Q}(\xi_3)$ , for each prime  $p$ , we have  $\chi_p$  and  $\overline{\chi_p}$ , where

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Over  $\mathbb{Q}(\xi_3)$ , for each prime  $\mathfrak{p}$ , we have  $\chi_{\mathfrak{p}}$  and  $\overline{\chi_{\mathfrak{p}}}$ , where

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Luo (2004)

$$\sum_{\substack{\chi \pmod{d} \\ d \in \mathbb{Z}[\xi_3], d \equiv 1 \pmod{9} \\ d \square\text{-free}, N(d) \leq T}}^* L\left(\frac{1}{2}, \chi\right) \sim cT + O\left(T^{\frac{21}{22} + \varepsilon}\right),$$

This considers only a thin subset of the cubic characters, as it does not consider  $\overline{\chi_{\mathfrak{p}}}$ .

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# Function fields

Let  $q$  power of a prime,  $\mathbb{F}_q$  finite field with  $q$  elements.

## Number Fields

$\mathbb{Q}$

$\leftrightarrow$

$\mathbb{Z}$

$\leftrightarrow$

$p$  positive prime

$\leftrightarrow$

$$|n| = |\mathbb{Z}/n\mathbb{Z}| = n \in \mathbb{N}$$

$\leftrightarrow$

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}$$

$\leftrightarrow$

Riemann Hypothesis   $\leftrightarrow$

## Function Fields

$\mathbb{F}_q(X)$

$\mathbb{F}_q[X]$

$P(X)$  monic irreducible polynomial

$$|F(X)| = |\mathbb{F}_q[X]/(F(X))| = q^{\deg F}$$

$$\zeta_q(s) = \sum_{\substack{F \in \mathbb{F}_q[X] \\ F \text{ monic}}} \frac{1}{|F|^s}$$

Riemann Hypothesis 

# Dirichlet characters over function fields

A Dirichlet character is a

$$\chi : (\mathbb{F}_q[X]/(D(X)))^* \rightarrow \mathbb{C}^*$$

extended to  $\mathbb{F}_q[X]$  by periodicity and with the condition that

$$\chi(A) = 0 \text{ when } (A, D) \neq 1.$$



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The Dirichlet  $L$ -function is

$$L(s, \chi) = \sum_{f \text{ monic}} \frac{\chi(f)}{|f|^s} = \sum_{n=0}^{\infty} \frac{1}{q^{ns}} \sum_{\deg f=n} \chi(f).$$

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Since  $\sum_{\deg f=n} \chi(f) = 0$  for  $n \geq \deg D$ , we get a finite sum with finitely many zeros:

$$L(s, \chi) = \sum_{\deg f < \deg D} \frac{\chi(f)}{|f|^s}.$$

# Quadratic Dirichlet $L$ -function over function fields

Assume  $q \equiv 1 \pmod{4}$ . Let  $D(X) \in \mathbb{F}_q[X]$ ,  $\square$ -free,  $\deg D = 2g + 1$ . Write

$$\left(\frac{D}{f}\right) = \chi_D(f),$$

$$L(s, \chi_D) = \sum_{f \text{ monic}} \frac{\chi_D(f)}{|f|^s} = \prod_{\substack{P \text{ irreducible} \\ \text{monic} \\ P \nmid D}} \left(1 - \frac{\chi_D(P)}{|P|^s}\right)^{-1}$$

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Make the change  $u = q^{-s}$ .

$$\mathcal{L}(u, \chi_D) = \sum_{f \text{ monic}} \chi_D(f) u^{\deg f} = \sum_{n=0}^{\infty} u^n \sum_{\substack{f \text{ monic} \\ \deg f = n}} \chi_D(f).$$

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The Weil conjectures imply

- $\mathcal{L}(u, \chi_D)$  is a polynomial of degree  $2g$ .
- Functional equation relating  $\mathcal{L}(u, \chi)$  and  $\mathcal{L}\left(\frac{1}{qu}, \bar{\chi}\right)$ .
- The Riemann Hypothesis holds, the zeros are at  $|u| = \frac{1}{\sqrt{q}}$ .



# Moments of quadratic $L$ -functions over function fields

- $q \rightarrow \infty$  equidistribution results of Katz and Sarnak.
- $g \rightarrow \infty$  Andrade and Keating (2014) conjectured

$$\sum_{\substack{\deg D=2g+1 \\ D \text{ monic}}} \mathcal{L}\left(\frac{1}{\sqrt{q}}, \chi_D\right)^k = q^{2g+1} P_k(2g+1) + o(q^{2g+1}),$$

where  $P_k$  is a polynomial of degree  $\frac{k(k+1)}{2}$ .

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Li (2018) vanishing  $\gg T^{\frac{1}{3}-\epsilon}$ .

# Cubic characters over function fields (Kummer case)

Let  $q \equiv 1 \pmod{3}$  odd and fix

$\Omega : \text{roots of unity in } \mathbb{C}^* \rightarrow \text{roots of 1 in } \mathbb{F}_q^*$ .

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$\Omega$  : roots of unity in  $\mathbb{C}^*$   $\rightarrow$  roots of 1 in  $\mathbb{F}_q^*$ .

For a monic irreducible  $P$ , and  $f \in \mathbb{F}_q[X]$  such that  $P \nmid f$ , define

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If  $Q = P_1^{e_1} \cdots P_k^{e_k}$ , the Jacobi symbol is

$$\chi_Q(f) = \prod_{i=1}^k \chi_{P_i}(f)^{e_i}.$$

# The mean value of cubic Dirichlet $L$ -functions over function fields (Kummer case)

Theorem (David, Florea, L. (2019+))

Let  $q$  be an odd prime power such that  $q \equiv 1 \pmod{3}$ . Let  $\chi_3$  be a fixed cubic character on  $\mathbb{F}_q^*$

$$\sum_{\substack{\chi \text{ primitive cubic} \\ \text{genus}(\chi)=g \\ \chi|_{\mathbb{F}_q^*}=\chi_3}} L(1/2, \chi) = C_1 g q^{g+1} + C_2 q^{g+1} + O\left(q^{g \frac{1+\sqrt{7}}{4} + \varepsilon g}\right),$$

where  $C_1$  and  $C_2$  are certain constants and  $g = \deg(\text{Cond}(\chi)) - 1$ .

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$$\frac{1 + \sqrt{7}}{4} \approx 0.9114378 \dots > 0.875 = \frac{7}{8}.$$

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de Montréal

# The Weil Zeta function (Kummer case)

$q \equiv 1 \pmod{6}$ . Consider

$$C_{F_1, F_2} : Y^3 = F_1(X)F_2(X)^2,$$

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$$d_1 + d_2 = g + 1$$

## Some features

$$\sum_{\substack{\chi \text{ primitive cubic} \\ \text{genus}(\chi)=g \\ \chi|_{\mathbb{F}_q^*}=\chi^3}} L(1/2, \chi) = \sum_{d_1+d_2=g+1} \sum_{\substack{F_1, F_2 \in \mathbb{F}_q[T] \\ (F_1, F_2)=1 \\ \deg(F_i)=d_i, F_i \square\text{-free}}} L(1/2, \chi_{F_1 F_2^2})$$



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- The sieve is complicated.
- Difficulty estimating the error when one  $d_i$  is small.
- This implies a nonvanishing result of  $\gg q^{g(1-\varepsilon)}$ .

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For a monic irreducible  $P$  of **even degree** and  $f \in \mathbb{F}_q[X]$  such that  $P \nmid f$ , define

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Extend as before...



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$$\sum_{\substack{\chi \text{ primitive cubic} \\ \text{genus}(\chi)=g}} L(1/2, \chi) = Aq^{g+2} + O\left(q^{\frac{7g}{8} + \varepsilon g}\right),$$

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## A better way to count for the non-Kummer case

$$\begin{aligned}
 \sum_{\substack{\chi \text{ primitive cubic} \\ \text{genus}(\chi)=g}} L(1/2, \chi) &= \sum_{d_1+d_2=g+2} \sum_{\substack{F_1, F_2 \in \mathbb{F}_q[T] \\ (F_1, F_2)=1 \\ \deg(F_i)=d_i, F_i \square\text{-free} \\ P|F_i \Rightarrow \deg(P) \text{ even}}} L(1/2, \chi_{F_1 F_2^2}) \\
 &= \sum_{\substack{F \in \mathbb{F}_{q^2}[T], \square\text{-free} \\ P|F \Rightarrow P \notin \mathbb{F}_q[T] \\ \deg(F)=(g+2)/2}} L(1/2, \chi_F)
 \end{aligned}$$

## Ideas in the proof - Approximate functional equation

$$\mathcal{L}^*(u, \chi) = \omega(\chi)(\sqrt{qu})^g \mathcal{L}^*\left(\frac{1}{qu}, \bar{\chi}\right),$$



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$$\mathcal{L}\left(\frac{1}{\sqrt{q}}, \chi\right) = \underbrace{\sum_{f \in \mathcal{M}_{q, \leq A}} \frac{\chi(f)}{q^{\deg(f)/2}}}_{\sum_{\chi} \rightsquigarrow \mathcal{S}_{\text{principal}}} + \omega(\chi) \underbrace{\sum_{f \in \mathcal{M}_{q, \leq g-A-1}} \frac{\overline{\chi(f)}}{q^{\deg(f)/2}}}_{\sum_{\chi} \rightsquigarrow \mathcal{S}_{\text{dual}}}.$$

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## Ideas in the proof - Main term

If  $f = \square$ ,

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$$S_{\text{principal}} = \sum_{f=\mathbb{Q}} + \sum_{f \neq \mathbb{Q}}$$

$$\sum_{f=\mathbb{Q}} = \sum_{\deg(f) \leq A/3} \frac{a(F)}{|f|^{3/2}},$$

$$a(F) = \#\{\chi : \chi^3 = 1, \text{ primitive, } \text{Cond}(\chi) = F\}$$

with  $(F, f) = 1$ .

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Let

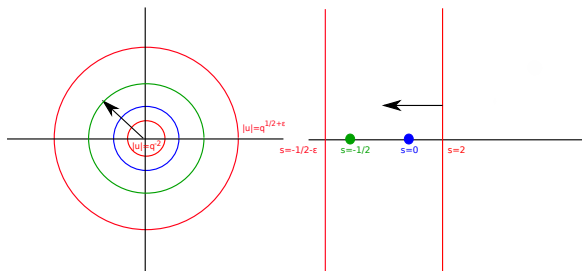
$$\mathcal{G}(u) = \sum_F a(F) u^{\deg(F)}$$

By Perron's formula,

$$\sum_{\deg(F)=d} a(F) = \frac{1}{2\pi i} \oint_{|u|=q^{-2}} \frac{\mathcal{G}(u)}{u^d} \frac{du}{u}$$

$$\sum_{\deg(F) \leq d} a(F) = \frac{1}{2\pi i} \oint_{|u|=q^{-2}} \frac{\mathcal{G}(u)}{u^d(1-u)} \frac{du}{u}$$

# Ideas in the proof - Main term



non-Kummer:

$$\mathcal{G}(u) = \prod_{\substack{2|\deg(P) \\ P \nmid f}} (1 + 2u^{\deg(P)})$$

$$\sum_{f \neq \emptyset} = Mq^g + Nq^{g - \frac{A}{6}} + O\left(q^{g - \frac{A}{2}}\right)$$

(A sieve for Kummer)

## Ideas in the proof - The sum over the non-cubes

$$\sum_{f \neq \square} \ll q^{\frac{A+g}{2} + \varepsilon g} \text{ uses the Lindelöf bound.}$$

For  $\operatorname{Re}(s) \geq 1/2$  and all  $\varepsilon > 0$ ,

$$|L(s, \chi_F)| \ll |F|^\varepsilon$$



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$$\mathcal{L}^*(u, \chi) = \omega(\chi)(\sqrt{qu})^g \mathcal{L}^*\left(\frac{1}{qu}, \bar{\chi}\right),$$

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$$\omega(\chi) = q^{-g/2-1} G(\chi)$$

$$G(\chi_F) = \sum_{a \bmod F} \chi_F(a) e\left(\frac{a}{F}\right)$$

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Cubic Gauss sum!

## Ideas in the proof - Gauss sums

If  $a \in \mathbb{F}_q \left( \left( \frac{1}{t} \right) \right)$ , define,

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“almost” multiplicative.

- If  $(F_1, F_2) = 1$ ,

$$G(V, F_1 F_2) = G(V, F_1) G(V, F_2) \chi_{F_1}^2(F_2)$$



## Ideas in the proof - Gauss sums

If  $a \in \mathbb{F}_q \left( \left( \frac{1}{t} \right) \right)$ , define,

$$e(\alpha) = e^{\frac{2\pi i \text{tr}(a_1)}{p}}, \text{ if } \alpha = \cdots + \frac{a_1}{t} + \cdots$$

Gauss sum

$$G(V, F) = \sum_{a \bmod F} \chi_f(a) e\left(\frac{aV}{F}\right)$$

“almost” multiplicative.

- If  $(F_1, F_2) = 1$ ,

$$G(V, F_1 F_2) = G(V, F_1) G(V, F_2) \chi_{F_1}^2(F_2)$$

•

$$P \nmid V \Rightarrow |G(V, P)| = \sqrt{|P|}.$$

# Ideas in the proof - the generating series for the Gauss sums

Hoffstein (1992) and Patterson (2007) studied

$$\Psi_f(u) = \sum_F G(f, F) u^{\deg F}$$

(from the context of metaplectic Eisenstein series).

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- Identities allow us to compute  $\rho(f)$  by factorizing  $f$ .

# Ideas in the proof - the sum of the Gauss sums

Use Perron formula

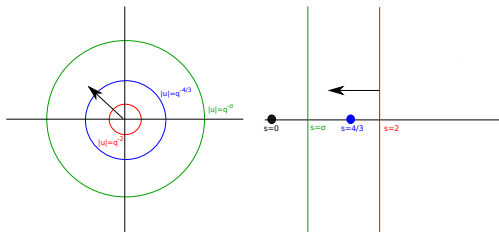
$$\sum_{\substack{F \text{ monic} \\ \deg F = d}} G(f, F) = \frac{1}{2\pi i} \oint_{|u|=q^{-2}} \frac{\Psi_f(u)}{u^d} \frac{du}{u}$$

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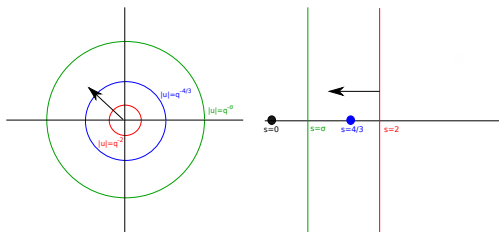


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$$= \rho(f) q^{\frac{4d}{3}} + O\left(q^{d\sigma} |f|\left(\frac{3}{2}-\sigma\right)^{\frac{1}{2}}\right).$$



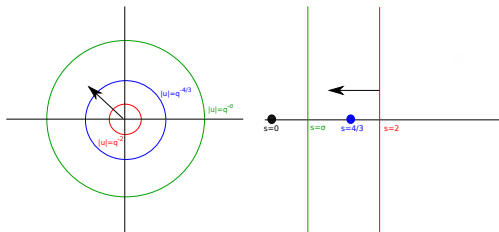
# Ideas in the proof - the sum of the Gauss sums

Use Perron formula

$$\sum_{\substack{F \text{ monic} \\ \deg F = d \\ (F, f) = 1}} G(f, F) = \frac{1}{2\pi i} \oint_{|u|=q^{-2}} \frac{\tilde{\Psi}_f(u)}{u^d} \frac{du}{u}$$

Extra poles at  $u^3 = \frac{1}{q^2}$

Using Phragmén–Lindelöf to estimate the error term.



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## Ideas in the proof - Combining with the dual sum

$$\sum_{f=\square} = Mq^g + Nq^{g-\frac{A}{6}} + O\left(q^{g-\frac{A}{2}}\right), \quad \sum_{f \neq \square} \ll q^{\frac{A+g}{2} + \varepsilon g}$$

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$$S_{\text{dual}} = -Nq^{g-\frac{A}{6}} + O_g\left(q^{g-\frac{A}{2}} + q^{\frac{3g}{2} - (2-\sigma)A} + q^{\frac{5g}{6}}\right)$$

Take  $\sigma = 7/6$ ,  $A = 3g/4$ , then  $O\left(q^{\frac{7g}{8} + \varepsilon g}\right)$ .

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Take  $\sigma = \frac{13-2\sqrt{7}}{6}$ ,  $A = \frac{\sqrt{7}-1}{2}g$ , then  $O\left(q^{g\frac{1+\sqrt{7}}{4} + \varepsilon g}\right)$ .

# The results

Theorem (David, Florea, L. (2019+))

Let  $q$  be an odd prime power such that  $q \equiv 1 \pmod{3}$ . Let  $\chi_3$  be a fixed cubic character on  $\mathbb{F}_q^*$

$$\sum_{\substack{\chi \text{ primitive cubic} \\ \text{genus}(\chi)=g \\ \chi|_{\mathbb{F}_q^*}=\chi_3}} L(1/2, \chi) = C_1 g q^{g+1} + C_2 q^{g+1} + O\left(q^{g \frac{1+\sqrt{7}}{4} + \varepsilon g}\right).$$

Theorem (David, Florea, L. (2019+))

Let  $q$  be an odd prime power such that  $q \equiv 2 \pmod{3}$ . Then

$$\sum_{\substack{\chi \text{ primitive cubic} \\ \text{genus}(\chi)=g}} L(1/2, \chi) = A q^{g+2} + O\left(q^{\frac{7g}{8} + \varepsilon g}\right).$$

# The conjectures

## Conjecture

Let  $q$  be an odd prime power such that  $q \equiv 1 \pmod{3}$ . Let  $\chi_3$  be a fixed cubic character on  $\mathbb{F}_q^*$

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## Conjecture

Let  $q$  be an odd prime power such that  $q \equiv 2 \pmod{3}$ . Then

$$\sum_{\substack{\chi \text{ primitive cubic} \\ \text{genus}(\chi)=g}} L(1/2, \chi) = A q^{g+2} + B q^{\frac{5g}{6}} + o\left(q^{\frac{5g}{6}}\right).$$

Thanks for your attention!!!