

Quotients of multipliers in complete Pick spaces

Michael Hartz

joint work with Alexandru Aleman, John McCarthy and Stefan Richter

Washington University in St. Louis

SEAM 2017

The classical Smirnov class

Let

$$H^\infty = \{\varphi : \mathbb{D} \rightarrow \mathbb{C} : \varphi \text{ is analytic and bounded}\}.$$

Every $\varphi \in H^\infty$ induces a multiplication operator on the **Hardy space**

$$H^2 = \left\{ f = \sum_{n=0}^{\infty} a_n z^n \in \mathcal{O}(\mathbb{D}) : \|f\|^2 = \sum_{n=0}^{\infty} |a_n|^2 < \infty \right\}.$$

The classical Smirnov class

Let

$$H^\infty = \{\varphi : \mathbb{D} \rightarrow \mathbb{C} : \varphi \text{ is analytic and bounded}\}.$$

Every $\varphi \in H^\infty$ induces a multiplication operator on the **Hardy space**

$$H^2 = \left\{ f = \sum_{n=0}^{\infty} a_n z^n \in \mathcal{O}(\mathbb{D}) : \|f\|^2 = \sum_{n=0}^{\infty} |a_n|^2 < \infty \right\}.$$

A function $\psi \in H^\infty$ is outer if $\overline{\psi H^2} = H^2$.

The classical Smirnov class

Let

$$H^\infty = \{\varphi : \mathbb{D} \rightarrow \mathbb{C} : \varphi \text{ is analytic and bounded}\}.$$

Every $\varphi \in H^\infty$ induces a multiplication operator on the **Hardy space**

$$H^2 = \left\{ f = \sum_{n=0}^{\infty} a_n z^n \in \mathcal{O}(\mathbb{D}) : \|f\|^2 = \sum_{n=0}^{\infty} |a_n|^2 < \infty \right\}.$$

A function $\psi \in H^\infty$ is outer if $\overline{\psi H^2} = H^2$.

The **Smirnov class** is

$$N^+ = \left\{ \frac{\varphi}{\psi} : \varphi, \psi \in H^\infty, \psi \text{ outer} \right\}.$$

The classical Smirnov class

Let

$$H^\infty = \{\varphi : \mathbb{D} \rightarrow \mathbb{C} : \varphi \text{ is analytic and bounded}\}.$$

Every $\varphi \in H^\infty$ induces a multiplication operator on the **Hardy space**

$$H^2 = \left\{ f = \sum_{n=0}^{\infty} a_n z^n \in \mathcal{O}(\mathbb{D}) : \|f\|^2 = \sum_{n=0}^{\infty} |a_n|^2 < \infty \right\}.$$

A function $\psi \in H^\infty$ is outer if $\overline{\psi H^2} = H^2$.

The **Smirnov class** is

$$N^+ = \left\{ \frac{\varphi}{\psi} : \varphi, \psi \in H^\infty, \psi \text{ outer} \right\}.$$

Fact

N^+ contains H^2 .

The Smirnov class of an RKHS

Let \mathcal{H} be a reproducing kernel Hilbert space on a set X with reproducing kernel K , i.e.

$$f(x) = \langle f, K(\cdot, x) \rangle_{\mathcal{H}} \quad (f \in \mathcal{H}, x \in X).$$

Assume K **normalized** at $x_0 \in X$ (i.e. $K(x, x_0) = 1$ for all $x \in X$).

The Smirnov class of an RKHS

Let \mathcal{H} be a reproducing kernel Hilbert space on a set X with reproducing kernel K , i.e.

$$f(x) = \langle f, K(\cdot, x) \rangle_{\mathcal{H}} \quad (f \in \mathcal{H}, x \in X).$$

Assume K **normalized** at $x_0 \in X$ (i.e. $K(x, x_0) = 1$ for all $x \in X$).

Definition

$$N^+(\mathcal{H}) = \left\{ \frac{\varphi}{\psi} : \varphi, \psi \in \text{Mult}(\mathcal{H}), \overline{\psi\mathcal{H}} = \mathcal{H} \right\}.$$

Multipliers ψ with $\overline{\psi\mathcal{H}} = \mathcal{H}$ are called **cyclic**.

The Smirnov class of an RKHS

Let \mathcal{H} be a reproducing kernel Hilbert space on a set X with reproducing kernel K , i.e.

$$f(x) = \langle f, K(\cdot, x) \rangle_{\mathcal{H}} \quad (f \in \mathcal{H}, x \in X).$$

Assume K **normalized** at $x_0 \in X$ (i.e. $K(x, x_0) = 1$ for all $x \in X$).

Definition

$$N^+(\mathcal{H}) = \left\{ \frac{\varphi}{\psi} : \varphi, \psi \in \text{Mult}(\mathcal{H}), \overline{\psi\mathcal{H}} = \mathcal{H} \right\}.$$

Multipliers ψ with $\overline{\psi\mathcal{H}} = \mathcal{H}$ are called **cyclic**.

Question

Is $\mathcal{H} \subset N^+(\mathcal{H})$?

The Smirnov class of an RKHS

Let \mathcal{H} be a reproducing kernel Hilbert space on a set X with reproducing kernel K , i.e.

$$f(x) = \langle f, K(\cdot, x) \rangle_{\mathcal{H}} \quad (f \in \mathcal{H}, x \in X).$$

Assume K **normalized** at $x_0 \in X$ (i.e. $K(x, x_0) = 1$ for all $x \in X$).

Definition

$$N^+(\mathcal{H}) = \left\{ \frac{\varphi}{\psi} : \varphi, \psi \in \text{Mult}(\mathcal{H}), \overline{\psi\mathcal{H}} = \mathcal{H} \right\}.$$

Multipliers ψ with $\overline{\psi\mathcal{H}} = \mathcal{H}$ are called **cyclic**.

Question

Is $\mathcal{H} \subset N^+(\mathcal{H})$?

Example

Let $\mathcal{H} = L_a^2 = \mathcal{O}(\mathbb{D}) \cap L^2(\mathbb{D})$ be the Bergman space on \mathbb{D} .
Then $\text{Mult}(L_a^2) = H^\infty$ and $L_a^2 \not\subset N^+(L_a^2)$.

Nevanlinna-Pick interpolation

Theorem (Pick 1916, Nevanlinna 1919)

Let $z_1, \dots, z_n \in \mathbb{D}$ and $\lambda_1, \dots, \lambda_n \in \mathbb{C}$. There exists $f \in H^\infty$ with

$$f(z_i) = \lambda_i \text{ for } 1 \leq i \leq n \quad \text{and} \quad \|f\|_\infty \leq 1$$

if and only if the matrix

$$\left[\frac{1 - \lambda_i \overline{\lambda_j}}{1 - z_i \overline{z_j}} \right]_{i,j=1}^n$$

is positive.

Nevanlinna-Pick interpolation

Theorem (Pick 1916, Nevanlinna 1919)

Let $z_1, \dots, z_n \in \mathbb{D}$ and $\lambda_1, \dots, \lambda_n \in \mathbb{C}$. There exists $f \in \text{Mult}(H^2)$ with

$$f(z_i) = \lambda_i \text{ for } 1 \leq i \leq n \quad \text{and} \quad \|f\|_{\text{Mult}(H^2)} \leq 1$$

if and only if the matrix

$$\left[\frac{1 - \lambda_i \overline{\lambda_j}}{1 - z_i \overline{z_j}} \right]_{i,j=1}^n$$

is positive.

Nevanlinna-Pick interpolation

Theorem (Pick 1916, Nevanlinna 1919)

Let $z_1, \dots, z_n \in \mathbb{D}$ and $\lambda_1, \dots, \lambda_n \in \mathbb{C}$. There exists $f \in \text{Mult}(H^2)$ with

$$f(z_i) = \lambda_i \text{ for } 1 \leq i \leq n \quad \text{and} \quad \|f\|_{\text{Mult}(H^2)} \leq 1$$

if and only if the matrix

$$\left[\frac{1 - \lambda_i \bar{\lambda}_j}{1 - z_i \bar{z}_j} \right]_{i,j=1}^n = \left[(1 - \lambda_i \bar{\lambda}_j) K(z_i, z_j) \right]_{i,j=1}^n$$

is positive.

Here $K(z, w) = (1 - z\bar{w})^{-1}$ is the reproducing kernel of H^2 .

Complete Pick spaces

Let \mathcal{H} be a reproducing kernel Hilbert space on a set X with kernel K . Given $z_1, \dots, z_n \in X$ and $\lambda_1, \dots, \lambda_n \in \mathbb{C}$, does there exist $f \in \text{Mult}(\mathcal{H})$ with

$$f(z_i) = \lambda_i \quad \text{for } 1 \leq i \leq n \quad \text{and} \quad \|f\|_{\text{Mult}(\mathcal{H})} \leq 1?$$

Complete Pick spaces

Let \mathcal{H} be a reproducing kernel Hilbert space on a set X with kernel K . Given $z_1, \dots, z_n \in X$ and $\lambda_1, \dots, \lambda_n \in \mathbb{C}$, does there exist $f \in \text{Mult}(\mathcal{H})$ with

$$f(z_i) = \lambda_i \quad \text{for } 1 \leq i \leq n \quad \text{and} \quad \|f\|_{\text{Mult}(\mathcal{H})} \leq 1?$$

A necessary condition is that the matrix

$$[K(z_i, z_j)(1 - \lambda_i \bar{\lambda}_j)]_{i,j=1}^n$$

is positive.

Definition

\mathcal{H} is called a **Pick space** if this condition is sufficient. \mathcal{H} is called a **complete Pick space** if the analogue of this condition for matrix valued functions is sufficient.

Examples

- ▶ The Hardy space H^2 is a complete Pick space.

Examples

- ▶ The Hardy space H^2 is a complete Pick space.
- ▶ The Bergman space L_a^2 is **not** a Pick space.

Examples

- ▶ The Hardy space H^2 is a complete Pick space.
- ▶ The Bergman space L_a^2 is **not** a Pick space.
- ▶ The Dirichlet space is

$$\mathcal{D} = \{f \in \mathcal{O}(\mathbb{D}) : f' \in L^2(\mathbb{D})\},$$

with norm $\|f\|_{\mathcal{D}}^2 = \|f'\|_{L^2(\mathbb{D})}^2 + \|f\|_{H^2}^2$. This is a complete Pick space.

Examples

- ▶ The Hardy space H^2 is a complete Pick space.
- ▶ The Bergman space L_a^2 is **not** a Pick space.
- ▶ The Dirichlet space is

$$\mathcal{D} = \{f \in \mathcal{O}(\mathbb{D}) : f' \in L^2(\mathbb{D})\},$$

with norm $\|f\|_{\mathcal{D}}^2 = \|f'\|_{L^2(\mathbb{D})}^2 + \|f\|_{H^2}^2$. This is a complete Pick space.

- ▶ The Drury-Arveson space H_d^2 is the reproducing kernel Hilbert space on \mathbb{B}_d , the open unit ball in \mathbb{C}^d , with kernel

$$K(z, w) = \frac{1}{1 - \langle z, w \rangle}.$$

This is a complete Pick space.

Functions as quotients of multipliers

Recall that

$$N^+(\mathcal{H}) = \left\{ \frac{\varphi}{\psi} : \varphi, \psi \in \text{Mult}(\mathcal{H}), \overline{\psi\mathcal{H}} = \mathcal{H} \right\}.$$

Theorem (Aleman–H.–McCarthy–Richter)

Let \mathcal{H} be a complete Pick space on X whose kernel is normalized at $x_0 \in X$. Then $\mathcal{H} \subset N^+(\mathcal{H})$.

Functions as quotients of multipliers

Recall that

$$N^+(\mathcal{H}) = \left\{ \frac{\varphi}{\psi} : \varphi, \psi \in \text{Mult}(\mathcal{H}), \overline{\psi\mathcal{H}} = \mathcal{H} \right\}.$$

Theorem (A Aleman–H.–McCarthy–Richter)

Let \mathcal{H} be a complete Pick space on X whose kernel is normalized at $x_0 \in X$. Then $\mathcal{H} \subset N^+(\mathcal{H})$.

For the Drury-Arveson space H_d^2 with $d < \infty$, this was shown by Alpay, Bolotnikov and Kaptanoğlu.

Corollary

Every function in the Dirichlet space is a quotient of two multipliers of the Dirichlet space.

Zero sets

Let S be a set of functions on X . A subset $Z \subset X$ is called a **zero set** for S if there exists $f \in S$ with $Z = f^{-1}(0)$.

Corollary

Let \mathcal{H} be a complete Pick space on X whose kernel is normalized at $x_0 \in X$. Then the zero sets for \mathcal{H} and for $\text{Mult}(\mathcal{H})$ agree.

For the Dirichlet space, this is a theorem Marshall and Sundberg.

Zero sets

Let S be a set of functions on X . A subset $Z \subset X$ is called a **zero set** for S if there exists $f \in S$ with $Z = f^{-1}(0)$.

Corollary

Let \mathcal{H} be a complete Pick space on X whose kernel is normalized at $x_0 \in X$. Then the zero sets for \mathcal{H} and for $\text{Mult}(\mathcal{H})$ agree.

For the Dirichlet space, this is a theorem Marshall and Sundberg.

Proof.

Since $\text{Mult}(\mathcal{H}) \subset \mathcal{H}$, every zero set for $\text{Mult}(\mathcal{H})$ is a zero set for \mathcal{H} . Conversely, let Z be a zero set for \mathcal{H} . By the theorem, write $f = \frac{\varphi}{\psi}$ with $\varphi, \psi \in \text{Mult}(\mathcal{H})$ and ψ non-vanishing. Then $f^{-1}(0) = \varphi^{-1}(0)$. □

The corona theorem

Let \mathcal{H} be a reproducing kernel Hilbert space on X . Let

$$\mathcal{M}(\text{Mult}(\mathcal{H})) = \{\rho \in \text{Mult}(\mathcal{H})^* \setminus \{0\} : \rho \text{ is multiplicative}\}.$$

Identify $X \hookrightarrow \mathcal{M}(\text{Mult}(\mathcal{H}))$ via point evaluations.

The corona theorem

Let \mathcal{H} be a reproducing kernel Hilbert space on X . Let

$$\mathcal{M}(\text{Mult}(\mathcal{H})) = \{\rho \in \text{Mult}(\mathcal{H})^* \setminus \{0\} : \rho \text{ is multiplicative}\}.$$

Identify $X \hookrightarrow \mathcal{M}(\text{Mult}(\mathcal{H}))$ via point evaluations.

Theorem (Carleson, 1962)

The unit disc \mathbb{D} is weak-* dense in $\mathcal{M}(H^\infty)$.

The corona theorem

Let \mathcal{H} be a reproducing kernel Hilbert space on X . Let

$$\mathcal{M}(\text{Mult}(\mathcal{H})) = \{\rho \in \text{Mult}(\mathcal{H})^* \setminus \{0\} : \rho \text{ is multiplicative}\}.$$

Identify $X \hookrightarrow \mathcal{M}(\text{Mult}(\mathcal{H}))$ via point evaluations.

Theorem (Carleson, 1962)

The unit disc \mathbb{D} is weak-* dense in $\mathcal{M}(H^\infty)$.

Theorem (Tolokonnikov, 1991)

The unit disc \mathbb{D} is weak-* dense in $\mathcal{M}(\text{Mult}(\mathcal{D}))$.

The corona theorem

Let \mathcal{H} be a reproducing kernel Hilbert space on X . Let

$$\mathcal{M}(\text{Mult}(\mathcal{H})) = \{\rho \in \text{Mult}(\mathcal{H})^* \setminus \{0\} : \rho \text{ is multiplicative}\}.$$

Identify $X \hookrightarrow \mathcal{M}(\text{Mult}(\mathcal{H}))$ via point evaluations.

Theorem (Carleson, 1962)

The unit disc \mathbb{D} is weak-* dense in $\mathcal{M}(H^\infty)$.

Theorem (Tolokonnikov, 1991)

The unit disc \mathbb{D} is weak-* dense in $\mathcal{M}(\text{Mult}(\mathcal{D}))$.

Theorem (Costea–Sawyer–Wick, 2011)

For $d < \infty$, the unit ball \mathbb{B}_d is weak-* dense in $\mathcal{M}(\text{Mult}(H_d^2))$.

Spaces on the closed disc

A rotationally invariant space on $\overline{\mathbb{D}}$ is an RKHS \mathcal{H} on $\overline{\mathbb{D}}$ with kernel of the form

$$K(z, w) = \sum_{n=0}^{\infty} a_n (z\overline{w})^n,$$

where

- ▶ $a_0 = 1$ and $a_1 > 0$,
- ▶ $\sum_{n=0}^{\infty} a_n < \infty$, and
- ▶ the radius of convergence of $\sum_{n=0}^{\infty} a_n t^n$ is 1.

Spaces on the closed disc

A rotationally invariant space on $\bar{\mathbb{D}}$ is an RKHS \mathcal{H} on $\bar{\mathbb{D}}$ with kernel of the form

$$K(z, w) = \sum_{n=0}^{\infty} a_n (z\bar{w})^n,$$

where

- ▶ $a_0 = 1$ and $a_1 > 0$,
- ▶ $\sum_{n=0}^{\infty} a_n < \infty$, and
- ▶ the radius of convergence of $\sum_{n=0}^{\infty} a_n t^n$ is 1.

Then $\text{Mult}(\mathcal{H}) \subset C(\bar{\mathbb{D}})$, so $\bar{\mathbb{D}}$ is a compact subset of $\mathcal{M}(\text{Mult}(\mathcal{H}))$.

Corona question

Is $\mathcal{M}(\text{Mult}(\mathcal{H})) = \bar{\mathbb{D}}$?

The Salas space

Theorem (Aleman–H.–McCarthy–Richter)

There exists a rotationally invariant complete Pick space \mathcal{H} on $\overline{\mathbb{D}}$ such that the corona theorem fails for \mathcal{H} , i.e. $\overline{\mathbb{D}} \not\subseteq \mathcal{M}(\text{Mult}(\mathcal{H}))$.

The Salas space

Theorem (Aleman–H.–McCarthy–Richter)

There exists a rotationally invariant complete Pick space \mathcal{H} on $\overline{\mathbb{D}}$ such that the corona theorem fails for \mathcal{H} , i.e. $\overline{\mathbb{D}} \subsetneq \mathcal{M}(\text{Mult}(\mathcal{H}))$.

In fact, there exists $\varphi \in \text{Mult}(\mathcal{H})$ which is bounded below, but $\frac{1}{\varphi} \notin \text{Mult}(\mathcal{H})$.

The proof uses a construction of Hector Salas.

Spaces on the closed disc

Proposition

Let \mathcal{H} be a rotationally invariant complete Pick space on $\overline{\mathbb{D}}$. TFAE

- (i) $\mathcal{H} = \text{Mult}(\mathcal{H})$ as vector spaces.
- (ii) The corona theorem holds for $\text{Mult}(\mathcal{H})$, i.e. $\mathcal{M}(\text{Mult}(\mathcal{H})) = \overline{\mathbb{D}}$.
- (iii) The one-function corona theorem holds for $\text{Mult}(\mathcal{H})$, i.e. if $\varphi \in \text{Mult}(\mathcal{H})$ is bounded below on $\overline{\mathbb{D}}$, then $\frac{1}{\varphi} \in \text{Mult}(\mathcal{H})$.

Salas' construction yields a space for which (i) fails.

Spaces on the closed disc

Proposition

Let \mathcal{H} be a rotationally invariant complete Pick space on $\overline{\mathbb{D}}$. TFAE

- (i) $\mathcal{H} = \text{Mult}(\mathcal{H})$ as vector spaces.
- (ii) The corona theorem holds for $\text{Mult}(\mathcal{H})$, i.e. $\mathcal{M}(\text{Mult}(\mathcal{H})) = \overline{\mathbb{D}}$.
- (iii) The one-function corona theorem holds for $\text{Mult}(\mathcal{H})$, i.e. if $\varphi \in \text{Mult}(\mathcal{H})$ is bounded below on $\overline{\mathbb{D}}$, then $\frac{1}{\varphi} \in \text{Mult}(\mathcal{H})$.

Salas' construction yields a space for which (i) fails.

Proof.

- (i) \Rightarrow (ii) easy. (ii) \Rightarrow (iii) Gelfand theory.

Spaces on the closed disc

Proposition

Let \mathcal{H} be a rotationally invariant complete Pick space on $\overline{\mathbb{D}}$. TFAE

- (i) $\mathcal{H} = \text{Mult}(\mathcal{H})$ as vector spaces.
- (ii) The corona theorem holds for $\text{Mult}(\mathcal{H})$, i.e. $\mathcal{M}(\text{Mult}(\mathcal{H})) = \overline{\mathbb{D}}$.
- (iii) The one-function corona theorem holds for $\text{Mult}(\mathcal{H})$, i.e. if $\varphi \in \text{Mult}(\mathcal{H})$ is bounded below on $\overline{\mathbb{D}}$, then $\frac{1}{\varphi} \in \text{Mult}(\mathcal{H})$.

Salas' construction yields a space for which (i) fails.

Proof.

(i) \Rightarrow (ii) easy. (ii) \Rightarrow (iii) Gelfand theory.

(iii) \Rightarrow (i) Let $f \in \mathcal{H}$, write $f = \frac{\varphi}{\psi}$ with $\varphi, \psi \in \text{Mult}(\mathcal{H})$ and ψ non-vanishing. Since ψ is continuous on $\overline{\mathbb{D}}$, ψ is bounded below, so $\frac{1}{\psi} \in \text{Mult}(\mathcal{H})$ by assumption. Thus $f = \varphi \cdot \frac{1}{\psi} \in \text{Mult}(\mathcal{H})$. □

Spaces on the closed disc

Proposition

Let \mathcal{H} be a rotationally invariant complete Pick space on $\overline{\mathbb{D}}$. TFAE

- (i) $\mathcal{H} = \text{Mult}(\mathcal{H})$ as vector spaces.
- (ii) The corona theorem holds for $\text{Mult}(\mathcal{H})$, i.e. $\mathcal{M}(\text{Mult}(\mathcal{H})) = \overline{\mathbb{D}}$.
- (iii) The one-function corona theorem holds for $\text{Mult}(\mathcal{H})$, i.e. if $\varphi \in \text{Mult}(\mathcal{H})$ is bounded below on $\overline{\mathbb{D}}$, then $\frac{1}{\varphi} \in \text{Mult}(\mathcal{H})$.

Salas' construction yields a space for which (i) fails.

Proof.

(i) \Rightarrow (ii) easy. (ii) \Rightarrow (iii) Gelfand theory.

(iii) \Rightarrow (i) Let $f \in \mathcal{H}$, write $f = \frac{\varphi}{\psi}$ with $\varphi, \psi \in \text{Mult}(\mathcal{H})$ and ψ non-vanishing. Since ψ is continuous on $\overline{\mathbb{D}}$, ψ is bounded below, so $\frac{1}{\psi} \in \text{Mult}(\mathcal{H})$ by assumption. Thus $f = \varphi \cdot \frac{1}{\psi} \in \text{Mult}(\mathcal{H})$. □

Thank you!

Corona theorem for H_∞^2 ?

The Drury-Arveson space H_∞^2 is the reproducing kernel Hilbert space on the unit ball \mathbb{B}_∞ of ℓ^2 with reproducing kernel

$$K(z, w) = \frac{1}{1 - \langle z, w \rangle}.$$

Theorem (Agler–McCarthy, 2000)

Every normalized complete Pick space can be identified with $H_\infty^2|_X$ for some set $X \subset \mathbb{B}_\infty$.

Corona theorem for H_∞^2 ?

The Drury-Arveson space H_∞^2 is the reproducing kernel Hilbert space on the unit ball \mathbb{B}_∞ of ℓ^2 with reproducing kernel

$$K(z, w) = \frac{1}{1 - \langle z, w \rangle}.$$

Theorem (Agler–McCarthy, 2000)

Every normalized complete Pick space can be identified with $H_\infty^2|_X$ for some set $X \subset \mathbb{B}_\infty$.

Questions

- ▶ Does the corona theorem hold for H_∞^2 ?
- ▶ Does the one-function corona theorem hold for H_∞^2 ?

Thank you!