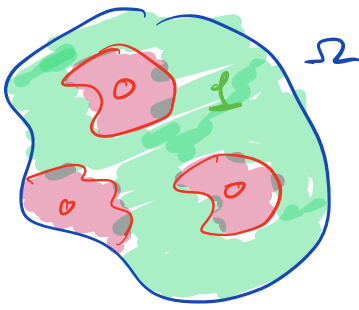


# Study Variational Models for Phase Transitions

What unifies these models:

- 1) energies of  $\neq$  dimensionality (bulk, surface, ...)
- 2) underlying maps have discontinuities

Energy  $\rightsquigarrow$  want to minimize energy  
( $\sim$  equilibrium states)



$\Omega \subset \mathbb{R}^N$  container

$u: \Omega \rightarrow \{0, 1\}$   
 $\uparrow \quad \uparrow$   
 fluid 0    fluid 1

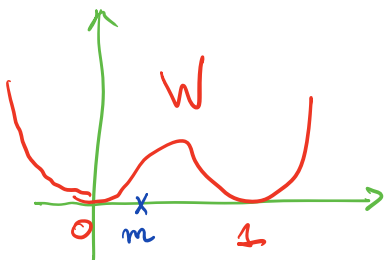
Given  $\int_{\Omega} u \, dx =: m$  volume fraction

average  $\frac{1}{|\Omega|} \int_{\Omega} =: \bar{f}$

$|\Omega| \dots \mathcal{L}^N(\Omega) \dots N$ -dim. Lebesgue measure

Energetically, what is the "best way" to arrange these 2 fluids?

$$E(u) := \int_{\Omega} W(u(x)) \, dx \quad \begin{array}{l} W: \mathbb{R} \rightarrow [0, +\infty] \text{ continuous} \\ u: \Omega \rightarrow \mathbb{R} \end{array}$$



energy density

... double well potential

$E$  is minimized iff  $W(u(x)) = 0$  a.e.

$\Leftrightarrow u \in \{0, 1\}$  a.e.

$m \in (0, 1)$ , so no pure phase

Prototype  $W := u^2(1-u)^2$

If  $A \subset \Omega$   $u_A(x) := \begin{cases} 1 & \text{if } x \in A, \\ 0 & \text{if } x \notin A. \end{cases}$

$$m = \int_{\Omega} u_A(x) dx = \frac{|A|}{|\Omega|} \Rightarrow |A| = m|\Omega|$$

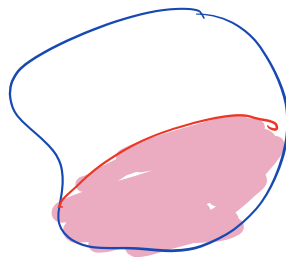
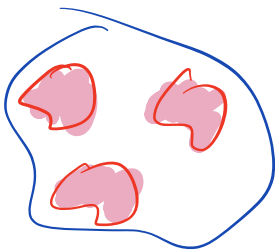
For any  $A \subset \Omega$  measurable,  $|A| = m|\Omega|$

$\Downarrow$

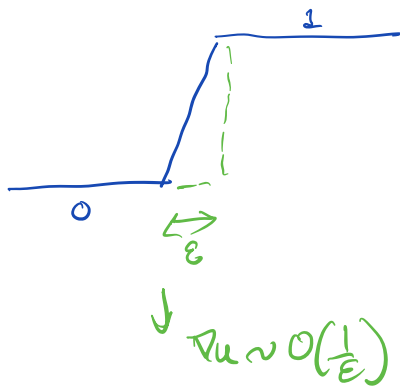
$u_A$  minimizes  $E$  ( $E(u_A) = 0$ )

Too much nonuniqueness!

Van der Waals, Cahn-Hilliard Theory of Phase Transitions



sharp interfaces



$$E_{\epsilon}(u) := \int_{\Omega} W(u(x)) dx + \underbrace{\frac{1}{\epsilon} \int_{\Omega} |\nabla u|^2 dx}_{\sim O(\epsilon)} \underbrace{\nu(\epsilon)}_{\text{surface energy}}$$

Singular perturbation of the original energy

let  $u_{\epsilon}$  be a minimizer of  $E_{\epsilon}$  (exists!)

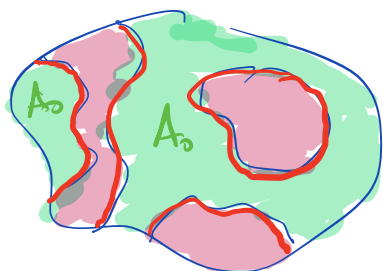
Goal: show  $u_\varepsilon \rightarrow u_0$

- $u_0 \in \mathcal{D}(0,1)$
- $u_0$  is "special"

$$I_\varepsilon(u) := \frac{1}{\varepsilon} F_\varepsilon(u) = \int_\Omega \left( \frac{1}{\varepsilon} W(u(x)) + \varepsilon |\nabla u(x)|^2 \right) dx \sim O(1)$$

$u_\varepsilon$  still minimizes  $I_\varepsilon$ !

Mort Gustin conjectured: write  $u_0 = \chi_{A_0}$ , for some  $A_0 \subset \mathbb{R}^2$   
with  $|A_0| = m(\Omega)$



$$\begin{aligned} A_0 & \text{ minimizes surface area inside } \Omega \\ &= \text{Per}(\chi_{u=0}; \Omega) \\ &= \text{Per}(\chi_{u=1}; \Omega) \quad (\text{perimeter inside } \Omega) \end{aligned}$$

Claim: Assume sequence  $v_\varepsilon: \Omega \rightarrow \mathbb{R}$  with

$$\bullet \sup_\varepsilon \frac{F_\varepsilon(v_\varepsilon)}{\varepsilon} = \sup_\varepsilon \frac{I_\varepsilon(v_\varepsilon)}{\varepsilon} \left[ = \int_\Omega \left( \frac{1}{\varepsilon} W(v_\varepsilon) + \varepsilon |\nabla v_\varepsilon|^2 \right) dx \right] < +\infty$$

$\Downarrow$  (up to a subsequence)

$$\bullet v_\varepsilon(x) \rightarrow v(x) \text{ pointwise a.e.}$$

Then  $v(x) \in \mathcal{D}(0,1)$  a.e.

$$\text{Since } \sup_\varepsilon \frac{1}{\varepsilon} \int_\Omega W(v_\varepsilon(x)) dx \leq M < +\infty$$

$$\Downarrow \quad (\text{multiply through by } \varepsilon, \varepsilon \rightarrow 0^+) \\ \lim_{\varepsilon \rightarrow 0^+} \int_\Omega W(v_\varepsilon(x)) dx = 0. \quad (*)$$

Fatou's Lemma

$$f_\varepsilon \geq 0$$

$$f_\varepsilon(x) \rightarrow f(x) \text{ a.e.}$$

then

$$\int_{\Omega} f(x) dx \equiv \lim \int_{\Omega} f_{\varepsilon}(x) dx.$$

$W$  continuous,  $v_{\varepsilon}(x) \rightarrow v(x)$  a.e.

$$\Downarrow W(v_{\varepsilon}(x)) \rightarrow W(v(x)) \text{ a.e.}$$

Apply Fatou's Lemma to  $(*)$ :  $f_{\varepsilon}(x) := W(v_{\varepsilon}(x))$

$$f(x) := W(v(x))$$

$$\text{Then } \int_{\Omega} W(v(x)) dx \leq \liminf \int_{\Omega} W(v_{\varepsilon}(x)) dx = 0$$

↑  
by  $(*)$

So  $W(v(x)) = 0$  a.e.

$$\Leftrightarrow v(x) \in \text{dom } W \text{ a.e.}$$

$\Rightarrow v = \chi_A$  some  $A$ ; set of finite perimeter

Precisely,  $u_0$  will minimize

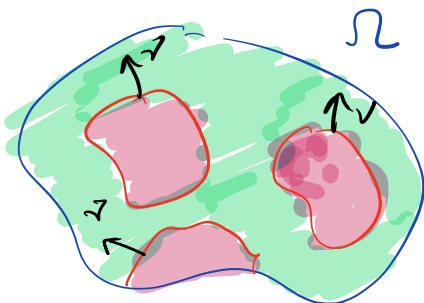
$$I_0(u) := \sigma \text{Per}(u=0; \Omega) = \int_{\partial \{u=0\} \cap \Omega} \sigma dH^{n-1}$$

$\uparrow$  isotropic surface energy density       $\downarrow$   $\text{Per}_{\Omega}(u=0)$

$(\partial \{u=0\}) \cap \Omega$   
 $(= \partial \{u=0\} \cap \Omega)$

$n-1$ -dim. Hausdorff measure

$$\sigma := 2 \int_0^1 \sqrt{W(t)} dt$$



$\nu \dots$  normal to the interface

looking for anisotropic surface energy

$$\int_{\partial \{u=0\} \cap \Omega} \sigma(\nu(x)) dH^{n-1}(x)$$

Instead:

$$\int_{\Omega} \frac{1}{\varepsilon} W(u_{\varepsilon}(x)) dx + \varepsilon \int_{\Omega} f(\nabla u)$$

$\frac{1}{\varepsilon} f(x, u, \varepsilon \nabla u) \dots$  Pouchille'