

MATH 567–PROBLEM SET 1-8/25/2011

1. Let  $G \rightarrow \text{Diff}(M), g \mapsto \varphi_g$  be an action of a group  $G$  on a manifold  $M$  by diffeomorphisms. Consider the orbit separation conditions:

(A)  $\forall p \in M$  and sequence  $(g_n)_{n \geq 1}, \varphi_{g_n}(p)$  does not converge in  $M$ .

(B)  $\forall p \in M \exists U$  open neighborhood of  $p$  in  $M$  so that  $\varphi_g(U) \cap U = \emptyset, \forall g \in G$ .

(C)  $\forall p, q \in M$  in different  $G$ -orbits  $\exists U, V$  open neighborhoods of  $p, q$  in  $M$  (resp.) so that  $\varphi_g(U) \cap V = \emptyset, \forall g \in G$ .

1.1 Show that condition (C) implies the quotient space  $N = M/\sim$  (with the quotient topology) is Hausdorff.

1.2 Consider the action of  $\mathbb{Z}$  on  $M = \mathbb{R}^2 \setminus \{0\}$  given by:

$$\varphi_n(v) = (2^{-n}v_1, 2^n v_2); \quad n \in \mathbb{Z}, v = (v_1, v_2).$$

Show that this action satisfies condition (B), but not condition (C). (*Hint:* for  $v \in M$ , consider a box  $(v_1 - \epsilon, v_1 + \epsilon) \times (v_2 - \epsilon, v_2 + \epsilon)$ , and compute how it maps under  $\phi_n$ . For the second part, consider in particular boxes  $V$  at  $(0, 1)$  and  $U$  at  $(1, 0)$ .)

1.3 Find an example of a group action satisfying (C), but not (B). (*Hint:* consider rational rotations of the circle.)

1.4 Show that if  $M$  has a metric  $d$  (inducing its topology) and the action is by *isometries*, then condition (A) implies both (B) and (C).

**2. Grassmannians and linear algebra.** Denote by  $G_{n,m}$  the set of  $n$ -dimensional vector subspaces of  $\mathbb{R}^m$ ,  $1 \leq n < m$ . In this problem we show  $G_{n,m}$  can be given the structure of a compact smooth manifold.

Let  $F_{n,m} \subset M_{n \times m}$  be the set of  $n \times m$  matrices  $A$  of full rank ( $\text{rk}(A) = n$ ). This is an open set in the euclidean space  $M_{n \times m} \sim \mathbb{R}^{mn}$ , as the complement of the intersection of finitely many zero sets of  $n \times n$  determinant minors.

We represent an  $n$ -dimensional subspace of  $\mathbb{R}^m$  as the row space of a matrix in  $F_{n,m}$ ; for this purpose, we identify two matrices if they have the same row space. Thus, introduce in  $F_{n,m}$  the equivalence relation:

$$A \sim B \text{ iff } B = RA, \text{ for some } R \in GL_n.$$

The Grassmanian is then the quotient space:  $G_{n,m} = F_{n,m}/\sim$  (with the quotient topology); denote by  $\pi : F_{n,m} \rightarrow G_{n,m}$  the quotient projection.

2.1. Show that the quotient topology in  $G_{n,m}$  is Hausdorff. *Hint:* to show the graph of the equivalence relation is closed, note that  $A$  and  $B$  have

the same row space iff each of the  $n$  matrices of type  $(n+1) \times m$  obtained by attaching to  $A$  successively each row of  $B$  has less than full rank.

To describe coordinate charts, we need the following notation. Let  $I = (i_1, \dots, i_n)$  be a multi-index of length  $n$  with increasing entries, each an integer between 1 and  $m$ . Denote by  $I'$  the complementary index of length  $m-n$ , e.g. if  $n=2, m=5$  and  $I = (1, 3)$  we have  $I' = (2, 4, 5)$ . Given  $I$ , define two maps on matrices:

$$P_I : M_{n \times n} \rightarrow M_{n \times n}, \quad Q_I : M_{n \times m} \rightarrow M_{n \times (m-n)};$$

$P_I(A)$  is obtained from  $A$  by keeping only the columns with indices in  $I$ ,  $Q_I(A)$  by only keeping the columns appearing in  $I'$ . From standard matrix algebra, given any  $A \in F_{n,m}$  there exists a multi-index  $I$  so that  $P_I(A) \in GL_n$ , and then  $A$  is row-equivalent to a unique matrix  $A_I \in F_{n,m}$  so that  $P_I(A_I) = \mathbb{I}_n$ , the identity matrix. In fact:

$$A_I = P_I(A)^{-1}A.$$

Conversely, given  $C \in M_{n \times (m-n)}$  and a multi-index  $I$ , we can build a matrix in  $F_{n,m}$  by placing the columns of  $\mathbb{I}_n$  at the indices in  $I$ , and the columns of  $C$  at the indices in  $I'$ . Denote this matrix by  $\tilde{\varphi}_I(C) := [\mathbb{I}|C]_I \in F_{n,m}$ . Clearly then:

$$A_I = [\mathbb{I}|Q_I(A_I)]_I.$$

## 2.2. Let

$$\tilde{U}_I := \{A \in F_{n,m}; P_I(A) \in GL_n\}, \quad U_I = \pi(\tilde{U}_I) \subset G_{n,m}.$$

Both are open subsets, and define finite open coverings of  $F_{n,m}$ , resp.  $G_{n,m}$ . Consider the map:

$$\psi_I : U_I \rightarrow M_{n \times (m-n)}, \quad \psi_I([A]) = Q_I(A_I).$$

*Claim:* show that  $\psi_I$  is well-defined, and is a homeomorphism onto  $M_{n \times (m-n)} \sim \mathbb{R}^{n(m-n)}$ , with inverse given by:

$$\varphi_I : M_{n \times (m-n)} \rightarrow U_I, \quad \varphi_I(C) = \pi([\mathbb{I}|C]_I).$$

**2.3.** Given multiindices  $I, \bar{I}$ , the domains of coordinate changes are the open sets:

$$\tilde{\varphi}_I^{-1}(\tilde{U}_I \cap \tilde{U}_{\bar{I}}) = \varphi_I^{-1}(U_I \cap U_{\bar{I}}) := W_{I\bar{I}} \subset M_{n \times (m-n)}.$$

*Claim:* show that the coordinate changes:

$$\psi_{\bar{I}} \circ \varphi_I : W_{I\bar{I}} \rightarrow W_{\bar{I}I}$$

are diffeomorphisms (smooth homeomorphism with smooth inverse.)

**3.** (*Boothby*) Let  $X = \mathbb{R} \times \{\pm 1\}$  with the product topology and smooth structure. Introduce an equivalence relation in  $X$  by  $(x, i) \sim (y, j)$  if either (i)  $x = y < 0$  or (ii)  $x = y \geq 0$  and  $i = j$ . Show that the quotient space  $X/\sim$  (with the quotient topology) is locally euclidean and has a countable basis of open sets but is not Hausdorff. Is the saturation of an open set in  $X$  open? Is the graph of the equivalence relation closed?